



Checklist for Corporate

- Trading Account Opening form in Enclosed format (AOF)
- Proof of Bank Account and Demat Account signed by authorized signatories
- Certified Proof of Address of the entity signed by authorized signatories (Not More Than 3 Months old)
- Copy of license issued by respective regulators like RBI, SEBI, IRDA, PFRDA etc.
- Copies of the balance sheet for the last 2 financial years (To be submitted every year).
- Copy of latest share holding pattern including list of all those holding more than 5% in the share capital of the company and all those holding control (even if less than 5%), either directly or indirectly, in the company in terms of SEBI takeover Regulations, duly certified by the company secretary/ Whole –time director/MD. (copy of updated shareholding pattern to be submitted every year). In case of collective corporate shareholding exceeding 10%, details of ultimate natural beneficial owners required along with self attested proof of identity & proof of address of UBO
- Certified true copies of the Memorandum and Articles of Association in case of a company / body corporate and Copy of Certificate of Incorporation/ certificate of commencement of business.
- Certified true copy of the Resolution (on the letterhead) of board of directors' approving participation in equity / derivatives / debt trading i.e. investment in securities market and details of authorized signatories who shall be authorized to operate the account along with their specimen signature & Photograph. Self-attested proof of identity of all authorized persons (Sample Board Resolution Attached)
- List of Directors along with Photograph, self-attested and Certified proof of identity , Proof Of Address, and DIN numbers of whole time directors/two directors in charge of day to day operations and of individual promoters holding control - either directly or indirectly.
- FATCA / CRS declaration required if not custody settled.
- CKYC Details

Additional Documents required incase KYC is Not Registered / On Hold

1. KRA Forms New Non-Individual
2. KYC Non-Individual Annexure